## 在任意拓扑三角形网格上的光滑样条曲面<sup>\*</sup>

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## **Smooth Spline Surfaces over Arbitrary Topological Triangular Meshes**

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**Abstract**: The paper introduces an algorithm for creating smooth spline surfaces over control triangular meshes capable of outlining arbitrary free-form surfaces with or without boundary. The resulting surface has a degree 4 parametric polynomial representation and is represented as a network of tangent plane continuous triangular Bézier patches. The approximation of resulting surface to mesh is controlled by a blend ratio; when the blend ratio is zero, surfaces interpolate meshes. The algorithm is a local method, simple, efficient and fit for appearance design. **Key words**: spline surface; control triangular mesh; triangular Bézier patch; geometric continuity; blend ratio

摘 要: 介绍了一种在控制三角形网格上创建光滑样条曲面的算法,该控制网格能够刻画具有或没有边界的 任意自由曲面.生成的曲面有一个4次参数多项式表示并且被表示成一个切平面连续的三角形 Bézier 片网.曲面 对网格的逼近程度受到一个混合比控制,当混合比为 0 时,产生的曲面插值网格.该算法是一种局部方法,简单且 效率高,适合于外形设计.

关键词: 样条曲面;控制三角形网格;三角形 Bézier 片;几何连续性;混合比

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Since a single B-spline patch can only represent surfaces of simple topological type (deformed planar regions, cylinders, and torus), a surface of arbitrary topological type must be defined as a network of polynomials. Most methods using piecewise polynomials to construct a surface from a mesh of points fall into one of two categories: global or local. With a global algorithm, a large linear, irregularly sparse system of equations is solved to match

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data. This makes it more difficult to reason a priori about the shape of the resulting surface. The works on global algorithm are seen in Refs.[1~3]. Local algorithms avoid solving large linear systems of equations and are more geometric in nature. J.PETERS gives a few properties of local algorithm in Ref.[4]. The works on local algorithm are also referred to Refs.[5~8]. The present is a local algorithm modeling surfaces of arbitrary topological type by smoothly approximating a control triangular mesh. The advantage of this technique is

- Free-form modeling capability There are no restrictions on the number of triangles meeting at a mesh point.
- Low-degree parametrization The surface is parametrized by degree 4 triangular Bèzier patches.
- *Evaluation by averaging* The coefficients of the parametrization in Bernstein-Bèzier form can be obtained by applying mask to the input mesh. Thus the algorithm is local and can be interpreted as a rule for cutting an input polytope such that the limit polytope is the spline surface.
- Convex hull property The surface lies locally and globally in the convex hull of the input mesh.
- *Taut interpolation of the control mesh for zero blend ratio* Cut of zero depth result in a singular parametrization at the mesh points analogous to singularities of a spline with repeated knots. The continuity of the surface is reduced, but in return the edges of the input mesh are interpolated and the surface is taut.

## 1 The G<sup>1</sup> Continuous Conditions Between Two Adjacent Triangular Bézier Patches

#### 1.1 Triangular patches

Triangular polynomial patches can be expressed in a Bernstein-Bézier form

$$\varphi(u,v,w) = \sum_{\substack{i+j+k=n\\i,j,k\geq 0}} G_{i,j,k} \frac{n!}{i!\,j!k!} u^i v^j w^k, \quad u+v+w=1, \quad u,v,w\geq 0,$$
(1.1)

where coefficients  $G_{i,j,k} \in \mathbb{R}^3$ . We use a shorthand notation for the coefficients:  $T_i = G_{i,1,n-i-1}$ , i = 0, ..., n-1,  $S_i = G_{i,0,n-i}$ ,

i = 0,...,n. We call the coefficients controlling boundary curves except three vertices  $G_{0,0,n}, G_{n,0,0}$  and  $G_{0,n,0}$  edge coefficients, all interior control vertices face coefficients, such as  $T_i, i = 1,..., n-2$ . We shall consider a particular cross-boundary derivative, namely,

$$[D\varphi](u) = (1-u)(\varphi_v - \varphi_u) + u(\varphi_w - \varphi_u)$$

Expressed in terms of Bernstein polynomials,

$$[D\varphi](u) = n(1-u)\sum_{i=0}^{n-1} (T_i - S_i)B_i^{n-1}(u) + nu\sum_{i=0}^{n-1} (T_i - S_{i+1})B_i^{n-1}(u).$$

Simple algebra yields

$$[D\varphi](u) = n \sum_{i=0}^{n} \left(\frac{n-i}{n} T_i + \frac{i}{n} T_{i-1} - S_i\right) B_i^n(u) .$$
(1.2)

Let us now consider a special case: assume the boundary curve with coefficients  $S_i$ , i = 0,...,n, is only of degree n-1. This implies the existence of  $\tilde{S}_i$ , i = 0,..., n-1, with

$$S_{i} = \frac{n-i}{n} \widetilde{S}_{i} + \frac{i}{n} \widetilde{S}_{i-1}, \qquad i = 0, ..., n.$$
(1.3)

Invoking the degree elevation of Bernstein polynomial<sup>[9]</sup> and (1.3), we see that (1.2) is equivalent to

$$[D\varphi](u) = n \sum_{i=0}^{n-1} (T_i - \widetilde{S}_i) B_i^{n-1}(u) .$$
(1.4)

## 1.2 The $G^1$ continuous conditions between two adjacent triangular Bézier patches

Much research has been devoted to this subject, and many approaches to the construction of Bézier surfaces that share tangent planes along their common boundary have been developed<sup>[9,10]</sup>. Let  $\phi$  and  $\varphi$  be two adjacent triangular patches of degree *n*, all of whose boundaries are degree *n*-1 and who share a common boundary cure  $\Gamma$  of the form (see Fig.1)

$$\Gamma(u) = \sum_{i=0}^{n} S_{i} B_{i}^{n}(u) = \sum_{i=0}^{n-1} \widetilde{S}_{i} B_{i}^{n-1}(u)$$

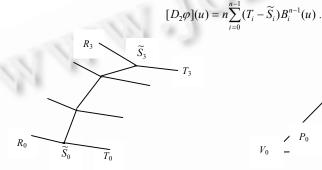
with the derivative

$$[D\Gamma](u) = (n-1)\sum_{i=0}^{n-2} (\widetilde{S}_{i+1} - \widetilde{S}_i) B_i^{n-2}(u) .$$

From (1.4) in section 2.1, we assume that  $\phi$  possesses a cross-boundary derivative of the form

$$[D_{1}\phi](u) = n \sum_{i=0}^{n-1} (R_{i} - \widetilde{S}_{i}) B_{i}^{n-1}(u)$$

and  $\varphi$  possesses a cross-boundary derivative of the form



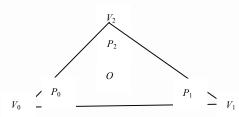
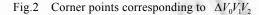


Fig.1 Coefficients for cross-boundary derivatives



The  $G^1$  continuous condition [9] is equivalent to

$$\mu(u)[D_1\phi](u) + \alpha(u)[D_2\phi](u) + \lambda(u)[D\Gamma](u) = 0, \qquad \mu, \alpha, \lambda \neq 0$$

In order to arrive at a manageable  $G^1$  construction, we specify that  $\mu$  and  $\alpha$  must be constants while  $\lambda$  must be linear:  $\lambda(u) = (1-u)\lambda_0 + u\lambda_1$ . Since  $\alpha \neq 0$ , we can assume without loss of generality that  $\alpha = 1$ . This gives the desired  $G^1$  continuous condition

$$T_{i} = \frac{n-1-i}{n-1} \left( \left(1 + \frac{n-1}{n}\lambda_{0} + \mu\right)\widetilde{S}_{i} - \frac{n-1}{n}\lambda_{0}\widetilde{S}_{i+1} - \mu R_{i} \right) + \frac{i}{n-1} \left(\frac{n-1}{n}\lambda_{1}\widetilde{S}_{i-1} + \left(1 - \frac{n-1}{n}\lambda_{1} + \mu\right)\widetilde{S}_{i} - \mu R_{i} \right), i = 0, \dots, n-1.$$
(1.5)

#### 2 Constructing the Spline

Constructing the spline surface begins with a user-defined control mesh denoted M. A control mesh is a collection of vertices, edges, and triangular faces that can intuitively be thought of as a triangular surface that may, or may not, be closed. The term *valance* is used to denote the number of triangles meeting at vertex.

The spline surface is constructed in the following stages:

Input: a control triangular mesh

- 1. create corner points
- 2. construct edge and vertex coefficients

- 3. construct face coefficients
- 4. construct patches
- Output: a network of triangular patches

The mesh M is passed to the first procedure that creates a set of corner points. The purpose of the first procedure provides initial data for following procedure. We require constructing a triangular Bèzier patch of degree 4 under each triangle on mesh, each boundary of whom is a Bèzier curve of degree 3. After the first step, the set of corner points is used to construct edge and vertex coefficients corresponding to each triangular patch in the second step. Using the edge, vertex coefficients constructed in the second step and the valant value at each vertex to solve a small linear system obtains face coefficients around each vertex. All of Bèzier coefficients controlling each triangular patch are constructed after three steps, a network of triangular Bèzier patches of degree 4 is generated and output. The details of each step are described in the next four sections.

#### 2.1 Create corner points

Let  $\Delta V_0 V_1 V_2$  be one of triangles in mesh *M*, denote it's barycenter by *O*, i.e.  $O = \frac{1}{3}(V_0 + V_1 + V_2)$ . Points  $P_{i,i} = 0,1,2$  are constructed by

$$P_{i} = (1 - \alpha)V_{i} + \frac{1}{4}\alpha V_{i-1} + \frac{1}{4}\alpha V_{i+1} + \frac{1}{2}\alpha O, \qquad 0 \le \alpha \le 1,$$
(2.1)

where all subscripts are taken modulo 3 (see Fig.2). We call  $P_i$ , i = 0,1,2 corner point and  $\alpha$  blend ratio or shape parameter.

#### 2.2 Construct edge and vertex coefficients

In the second step, 6 edge coefficients and 3 vertex coefficients are constructed corresponding to each triangular patch to be constructed. The labeling scheme of these coefficients is illustrated in Fig.3.  $V_i$  and  $V_j$  in Fig.3 are two adjacent mesh points. Integer numbers *n* and *m* are the numbers of triangles meeting at  $V_i$  and  $V_j$ , respectively.  $\{P_{il}\}_{l=0}^{n-1}$  are corner points constructed in the first step, and  $\{E_{il}\}_{l=0}^{n-1}$  edge coefficients around  $V_i$  to be constructed.  $\{P_{ji}\}_{l=0}^{m-1}$  and  $\{E_{jl}\}_{l=0}^{m-1}$  are similar concept. The vertex coefficients at  $V_i$  and  $V_j$  are denoted by  $V_i$  and  $V_i'$ , respectively.

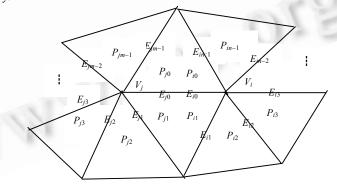


Fig.3 Corner points and edge coefficients around two adjacent mesh vertices  $V_i$  and  $V_j$ 

Suppose that  $V'_{j}$  corresponds to parameter u = 0 and  $V'_{i}$  to u = 1 along the common boundary determined by  $V'_{j}, E_{i0}, E_{i0}$  and  $V'_{i}$ . According to  $G^{1}$  constraint (1.5), we have

$$-\lambda_0 E_{j0} + (1 + \mu + \lambda_0) V'_j = E_{jm-1} + \mu E_{j1}$$
(2.2)

and

$$\lambda_1 E_{i0} + (1 + \mu - \lambda_1) V_i' = E_{in-1} + \mu E_{i1}.$$
(2.3)

Taking

$$\lambda_0 = -2\cos\frac{2\pi}{m}, \lambda_1 = 2\cos\frac{2\pi}{n}, \mu = 1.$$
 (2.4)

This is so that the condition at vertex takes the symmetric form that the sum of the derivatives along the edges meeting there is zero. The discussion on different choice of  $\lambda_0$ ,  $\lambda_1$  and  $\mu$  is referred to Ref.[11]. Substituting (2.4) into (2.2) and (2.3), we obtain

$$(1 - \cos\frac{2\pi}{m})V'_{j} + \cos\frac{2\pi}{m}E_{j0} = \frac{1}{2}E_{jm-1} + \frac{1}{2}E_{j1}$$
(2.5)

and

$$(1 - \cos\frac{2\pi}{n})V'_{i} + \cos\frac{2\pi}{n}E_{i0} = \frac{1}{2}E_{in-1} + \frac{1}{2}E_{i1}.$$
(2.6)

In order to ensure that the spline surface is  $G^1$ , such constraint (2.5) or (2.6) must be satisfied between edge coefficients and vertex coefficients of a pair of adjacent triangular patches surrounding any vertex. Then these constraints at vertex  $V'_i$  are as follows:

$$(1 - \cos\frac{2\pi}{n})V'_{i} + \cos\frac{2\pi}{n}E_{il} = \frac{1}{2}E_{il-1} + \frac{1}{2}E_{il+1}, \qquad l = 0, \dots, n-1,$$
(2.7)

where all subscripts are taken modulo n.

Above constraint (2.5) implies that all of edge coefficients surrounding  $V'_i$  must be co-planar. The following theorem is the key to construct the coefficients that satisfy this requirement:

**Theorem 2.1.** Let  $P_0, ..., P_{n-1} \in \mathbb{R}^3$  be a set of points in general position. The set of points  $Q_0, ..., Q_{n-1}$  found by

$$Q_{i} = \frac{1}{n} \sum_{j=0}^{n-1} P_{j} \left( 1 + \beta \left( \cos \frac{2\pi(j-i)}{n} + \tan \frac{\pi}{n} \sin \frac{2\pi(j-i)}{n} \right) \right),$$
(2.8)

satisfy

$$(1 - \cos\frac{2\pi}{n})O + \cos\frac{2\pi}{n}Q_i = \frac{1}{2}Q_{i-1} + \frac{1}{2}Q_{i+1}, \qquad (2.9)$$

where  $O = \frac{1}{n} \sum_{j=0}^{n-1} P_j$ , and are therefore co-planar.

Consult [6] for the proof of Theorem 2.1. The factor  $\beta$  in equation (2.8) is a free parameter that may be set arbitrarily. Theorem 2.1 applies to the construction at hand by setting  $\beta = \frac{3}{2}(1 + \cos \frac{2\pi}{n})$ , and interpreting the points  $P_0, \dots, P_{n-1}$  as the corner points  $\{P_{ii}\}$  surrounding  $V'_i$ , the point O as vertex coefficient  $V'_i$ , and the points  $Q_0, \dots, Q_{n-1}$  as the edge coefficients  $\{E_{ii}\}$  surrounding  $V'_i$ . Under this interpretation we immediately obtain the edge coefficients  $\{E_{ii}\}$  and vertex coefficient  $V'_i$  from  $\{P_{ii}\}$  and Theorem 2.1. Other edge coefficients and vertex coefficients at other vertices can be obtained using similar technique.

#### 2.3 Construct face coefficients

Suppose that  $V'_i$  is a vertex coefficient constructed in step 2 and the vertex coefficients adjacent to  $V'_i$  are  $V'_0,...,V'_{n-1}$ , respectively. Denote the number of triangles meeting at  $V_l, l = 0,..., n-1$  by  $n_l$ , the edge coefficients constructed in step two on each common boundary  $V'_l, V'_l, l = 0,..., n-1$  by  $E_l$  and  $E_{il}$ , l = 0,..., n-1 (see Fig.4).

Because we require that each triangular patch is a polynomial of degree 4, there exist three face coefficients corresponding to each triangular patch. Denote the face coefficients next to  $V'_i$  by  $F_{il}$ , l = 0,...,n-1 (see Fig.4). From constraint (1.5), in order to ensure two adjacent patches to be  $G^1$ , we require that the edge, face and vertex coefficients must satisfy following constrain

$$(4 - 2\cos\frac{2\pi}{n} - \cos\frac{2\pi}{n_l})E_{il} + 2\cos\frac{2\pi}{n}E_l + \cos\frac{2\pi}{n_l}V_i' = 2(F_{il} + F_{il+1}), \quad l = 0, ..., n-1.$$
(2.10)

Set

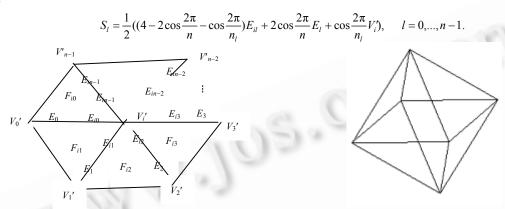


Fig.4 The vertex coefficients adjacent to  $V_i'$  and edge coefficients on each edge meeting at  $V_i'$ 

Fig.5 A close initial control triangular mesh

In order to obtain face coefficients  $\{F_{ii}\}_{i=0}^{n-1}$ , we need only solve such a small system of equations

$$F = S , \qquad (2.11)$$

where

$$A = \begin{pmatrix} 1 & 1 & 0 & 0 & \cdots & 0 & 0 \\ 0 & 1 & 1 & 0 & \cdots & 0 & 0 \\ & \cdots & \cdots & \cdots & & \\ 0 & 0 & 0 & 0 & \cdots & 1 & 1 \\ 1 & 0 & 0 & 0 & \cdots & 0 & 1 \end{pmatrix}, \quad F = \begin{pmatrix} F_{i0} \\ F_{i1} \\ \vdots \\ F_{in-2} \\ F_{in-1} \end{pmatrix}, \quad S = \begin{pmatrix} S_0 \\ S_1 \\ \vdots \\ S_{n-2} \\ S_{n-1} \end{pmatrix}.$$

A

We verify easily that the rank of A is n if n is odd, otherwise is n-1. When n is even, the freedom of (2.11) is 1, first we may take

$$F_{i0} = \alpha O_0 + (1 - \alpha) \frac{1}{2} (E_{i0} + E_{in-1}), \quad 0 \le \alpha \le 1,$$
(2.12)

where  $O_0$  is the barycenter of triangle  $V_0V_iV_{n-1}$ , then other face coefficients  $F_{il}$ , l = 1,...,n-1 are given by (2.11). When n is odd, all of face coefficients  $F_{il}$  is just determined by (2.11).

**Remarks.** If some  $V_l$  lies on boundary of open mesh, then  $n_l$  in (2.10) is taken as double of the number of triangles meeting at  $V_l$ . The reason is found in Section 3.

#### 2.4 Construct patches

After the third step is finished, all of the Bézier coefficients of each triangular patch are determined, we can output the spline surface. However, the edge, face and vertex coefficients determined in previous three steps are those around interior vertices. Those coefficients around boundary vertices of an open mesh are treated in Section 3.

#### **3** Treatment of Boundaries

#### 3.1 Boundary vertex with 1-valant value

Let  $V_0$  be a 1-valant boundary vertex of mesh M. Let  $V_1$  and  $V_2$  be two vertices adjacent to  $V_0$ . Let  $P_0$  be a corner point corresponding to  $V_0$ . Two edge coefficients next to  $V_0$  are given by

$$E_{00} = (1+\alpha)V_0 + \alpha V_1 - 2\alpha P_0, \qquad E_{01} = (1+\alpha)V_0 + \alpha V_2 - 2\alpha P_0.$$
(3.1)

The vertex coefficient at  $V_0$  is defined as  $V'_0 = V_0$  (interpolation) or

$$V_0' = (1+3\alpha)V_0 - \alpha E_{00} - \alpha E_{01} - 3\alpha P_0$$

The face coefficient is determined by (2.12).

#### 3.2 Boundary vertex with valant value more than 2

Let V be a vertex on the boundary of M. Let  $k(k \ge 1)$  be the number of triangles meeting at V. Let  $P_1, ..., P_k$ be the corner points next to V constructed in step 1, and let  $P_0$  and  $P_{k+1}$  be the other two points next to V determined by (3.1). A new n = 2k corner points  $\{P_0, ..., P_{n-1}\}$  is given by

$$P_l = 2(uQ_0 + (1-u)Q_1) - P_{n-l+1}, \quad l = k+2,..., n-1$$

where

$$Q_0 = \frac{1}{2}P_0 + \frac{1}{2}P_1, \quad Q_1 = \frac{1}{2}P_k + \frac{1}{2}P_{k+1}, \quad u = \frac{1}{2}(1 + \cos\frac{2l\pi}{n} + \tan\frac{\pi}{n}\sin\frac{2l\pi}{n}).$$

Then we can construct edge coefficients  $\{E_l\}_{l=0}^{n-1}$  and vertex coefficient V' using  $\{P_l\}_{l=0}^{n-1}$  by Theorem 2.1. However, only  $\{E_l\}_{l=0}^k$  are required. For face coefficients  $\{F_l\}_{l=0}^{k-1}$ , we first define  $F_0$  by (2.12), then solve  $\{F_l\}_{l=1}^{k-1}$  using (2.11) step by step.

#### 4 Conclusions

An algorithm has been presented for constructing a tangent plane smooth spline surface that approximates an control triangular mesh of arbitrary topological type. The spline surface is a composite of quartic triangular Bézier patches. The algorithm is simple, efficient and generates aesthetically pleasing shapes. We may obtain any approximation to mesh by adjusting the value of blend ratio  $\alpha$ . When  $\alpha = 0$ , surface interpolates the mesh.

The spline algorithm as presented was factored into 4 steps. Each of previous three steps was a construction that involved taken weighted averages (affine combinations) of points. Therefore, the spline surface is affine invariant (i.e., independent of any affine transformation applied to the control mesh). It is not clear that the concatenation of the constructions leads to convex combinations in all cases, but according to examination, when  $0 \le \alpha \le 0.7$ , the resulting surface preserves convexity.

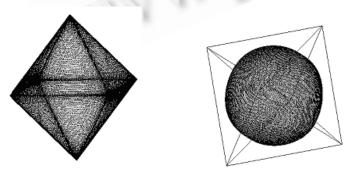
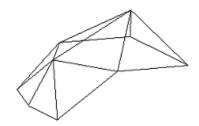
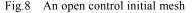


Fig.6 Interpolate mesh in  $\alpha = 0$ 

Fig.7 Spline sueface in  $\alpha = 0.5$ 





*Example.* A close control mesh and spline surfaces generated are shown in Figs. $5\sim7$ ; the other open control mesh and spline surfaces are shown in Figs. $8\sim10$ .

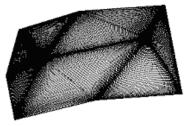
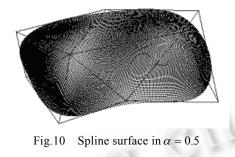


Fig.9 Interpolate mesh in  $\alpha = 0$ 



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